

Declaration

I understand, confirm and agree the following:

Use of your Personal Data

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Julian Hodge Bank Limited who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application;
- Verifying your identity and anti-money laundering checks;
- Assist in fraud prevention;
- Reporting to regulators and authorities;
- Market and product analysis.

The information held about you may be shared with the following parties:

- Hodge Bank approved service providers in relation to this application;
- Other members of the Julian Hodge Bank Limited Group, its subsidiaries and associated companies;
- Regulators or authorities where required or permitted by law.

You have the right to request access to your personal information held by Julian Hodge Bank Limited; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

- Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.
- Hodge Bank may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email.

Please confirm if you would like to hear from us about these services:

- By Phone
- By Mail
- By Email
- By SMS

We will always treat your personal details with the upmost care and will never pass or sell your information to other companies for marketing purposes.

Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- Right of access – you have the right to request a copy of the information that we hold about you as mentioned above.
- Right of rectification – you have a right to correct data that we hold about you that is inaccurate or incomplete.
- Right to be forgotten – in certain circumstances you can ask for the data we hold about you to be erased from our records.
- Right to restriction of processing – where certain conditions apply you have a right to restrict the processing.
- Right of portability – in certain circumstances, you have the right to have the data we hold about you transferred to another organisation.
- Right to object – you have the right to object to certain types of processing such as direct marketing.
- Right to object to automated processing, including profiling – protection against targeted marketing and decision making
- Right to judicial review - in the event that we refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process.
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

Please turn over to sign the declaration >

Hodge Bank

One Central Square, Cardiff, CF10 1FS

(029) 2022 0800 | deposits@hodgebank.co.uk | hodgebank.co.uk

Hodge Bank's Privacy Notice confirms how we manage and process your personal data. If you require more detail on how we handle your information please go to <https://www.hodgebank.co.uk/privacy-policy/> or call 0800 028 3746.

Hodge Bank is a trading name of Julian Hodge Bank Limited which is registered in England and Wales (No. 743437). It is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Its registered office is One Central Square, Cardiff, CF10 1FS.

HB-SSCIT-0518



Declaration

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 16 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, stocks and shares ISA and an innovative finance ISA in the same tax year;
- I have not subscribed and will not subscribe more than the cash ISA subscription limit to a cash ISA;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA,

I authorise Hodge Bank:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of these investments and any other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments

and;

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Hodge Bank if I cease to be so resident and ordinarily resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

I agree to the Terms and Conditions of the account. I have read and understood the Personal Data statement and consent to the use of my information for the purposes stated. I declare that the information that I have provided is correct to the best of my knowledge and belief.

Signed

Date

D	D	M	M	Y	Y	Y	Y
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Transfer Authority

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to Hodge Bank.

I authorise my existing ISA provider to provide Hodge Bank with any information, written or nonwritten, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either (please tick the appropriate box);

wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer;

depending on the terms and conditions, carry out the transfer as soon as possible - I accept any consequential loss of income, capital or charges that may be applied.

Signed

Date:

Transfer Acceptance (your new ISA provider fills this part in)

We, Hodge Bank, are willing to accept this ISA transfer in line with the customer's instructions, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only
- We must receive all the transfer proceeds within 30 days of the date of the transfer request from Hodge Bank

Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than:

£

Residual Income

We must receive all residual income payments no later than one month from the date that the original transfer proceeds are received. Any residual income payments after this date must be paid away to the customer. For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.

Name of new ISA provider:
Julian Hodge Bank Limited

Hodge Bank

One Central Square, Cardiff, CF10 1FS

0800 028 3746 | deposits@hodgebank.co.uk | hodgebank.co.uk

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